
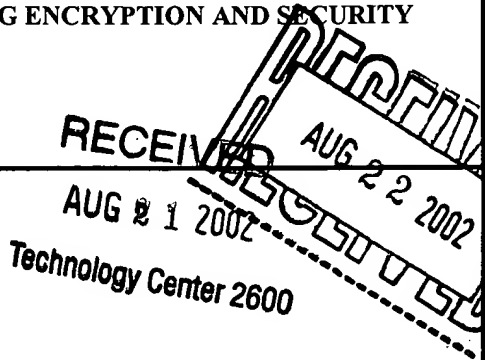


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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 3960.D16
In Re Application Of: John S. HENDRICKS			
Serial No. 09/964,882	Filing Date September 28, 2001	Examiner n/a	Group Art Unit 2611
Title: ELECTRONIC BOOK SELECTION AND DELIVERY SYSTEM HAVING ENCRYPTION AND SECURITY FEATURES			
			
Address to: Assistant Commissioner for Patents Washington, D.C. 20231			
37 CFR 1.97(b)			
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.			
37 CFR 1.97(c)			
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:			
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);			
OR			
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).			

Best Available Copy

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
3960.D16

In Re Application: **John S. HENDRICKS**

Serial No.
09/964,882

Filing Date
September 28, 2001

Examiner
n/a

Group Art Unit
2611

ELECTRONIC BOOK SELECTION AND DELIVERY SYSTEM HAVING ENCRYPTION AND FEATURES



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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1425 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

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I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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*This certificate may only be used if paying by deposit account.


Signature

Dated: August, 20 2002

Sung Tae Kim, Reg. No. 45,398
Dorsey & Whitney LLP
1660 International Drive
Suite 400
McLean, VA 22102
Tel. (703) 288-5240
Fax (703) 288-5260

CC:

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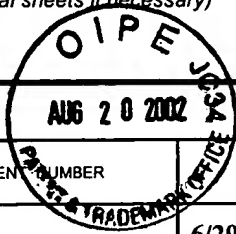
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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	5,917,915	6/29/99	Hirose	380	228	
	2.	6,052,717	4/18/00	Reynolds, et al.	709	218	
	3.	6,034,680	3/7/00	Kessenich, et al.	345	733	
	4.	5,341,426	8/23/94	Barney, et al.	713	171	
	5.	5,986,690	11/16/99	Hendricks	725	760	

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	1.	WO 97/23819	7/3/1997	WIPO				
	2.	WO 97/22110	6/19/1997	WIPO				
	3.	WO 97/22067	6/19/1997	WIPO				
	4.	WO 97/22065	6/19/1997	WIPO				
	5.	WO 97/22102	6/19/1997	WIPO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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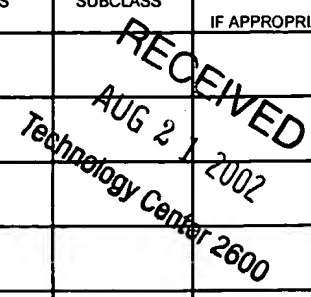
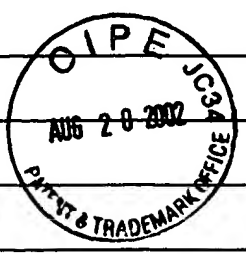
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	John S. HENDRICKS	
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	11.	WO 97/22109	6/19/1997	WIPO				
	12.	WO 97/22112	6/19/1997	WIPO				
	13.	WO 97/22079	6/19/1997	WIPO				
	14.	WO 97/22049	6/19/1997	WIPO				
	15.	WO 97/22100	6/19/1997	WIPO				

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

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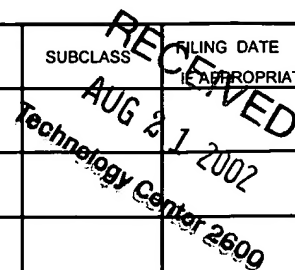
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FOREIGN PATENT DOCUMENTS

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16.		WO 97/22099	6/19/1997	WIPO				
17.		WO 97/22097	6/19/1997	WIPO				
18.		WO 97/22064	6/19/1997	WIPO				
19.		WO 97/22108	6/19/1997	WIPO				
20.		WO 97/22101	6/19/1997	WIPO				

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
21.		WO 97/22080	6/19/1997	WIPO				
22.		WO 97/22106	6/19/1997	WIPO				
23.		WO 97/22062	6/19/1997	WIPO				
24.		WO 97/22107	6/19/1997	WIPO				
25.		WO 95/15649	6/8/1995	WIPO				

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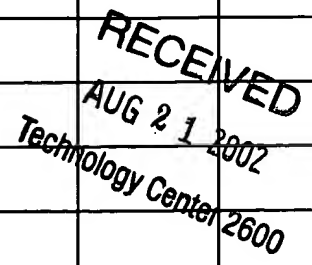
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DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO
WO 98/02836	1/22/98	WIPO			✓	
WO 98/08344	2/26/98	WIPO				
WO 99/45491	9/10/99	WIPO				
GB 2,269,302	2/2/94	UK				

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